

ANNEX A - (AS AMENDED BY CIRCULAR NOS. 698 DATED 5 NOV 2010, 794 DATED 18 APR 2013, 925 DATED 13 SEP 2016, 984 DATED 22 DEC 2017, 1030 DATED 5 FEB 2019 AND 1124 DATED 10 AUG 2021)

**APPLICANT
DETAILS**

NAME OF AAB / AAB FOREX CORPORATION **REFERENCE NUMBER**

ADDRESS

I hereby apply to purchase FX with the following details:

APPLICANT DETAILS (PLEASE CHECK APPROPRIATE BOX) **INDIVIDUAL** **CORPORATE/OTHER ENTITY**

NAME

ADDRESS

TELEPHONE NUMBER **FAX NUMBER** **EMAIL ADDRESS**

TAX IDENTIFICATION NUMBER **VALID GOVERNMENT ID PRESENTED**

RESIDENCY **RESIDENT** **NON-RESIDENT** **IDENTIFICATION NUMBER**

**FX PURCHASE
DETAILS**

FX TO BE PURCHASED **AMOUNT (IN FIGURES)**

AMOUNT (IN WORDS)

PURPOSE (PLEASE CHECK APPROPRIATE BOX/ES)

FOREIGN LOANS/BORROWINGS (DEBT ACCOUNT NO:)

OWED TO NON-RESIDENTS (OTHER THAN OBUS) **OWED TO OFFSHORE BANKING UNITS IN THE PHILIPPINES**

PREPAYMENT

REGULAR PAYMENT

PRINCIPAL

INTEREST

FEES

INWARD INVESTMENTS BY NON-RESIDENTS

REPATRIATION OF CAPITAL

REMITTANCE OF EARNINGS

REMITTANCE IN EQUIVALENT FX OF EXCESS PESOS (FUNDED BY INWARD REMITTANCE OF FX) FROM UNREALIZED INVESTMENTS IN THE PHILIPPINES

SETTLEMENT OF FX OBLIGATIONS/PAYABLES OF RESIDENTS TO NON-RESIDENT INVESTORS RELATIVE TO FX-DENOMINATED EQUITY AND DEBT SECURITIES ISSUED BY RESIDENTS THAT ARE NOT PARTAKING THE NATURE OF A FOREIGN LOAN

REMITTANCE IN EQUIVALENT FX OF PESO PROCEEDS FROM ONSHORE SALE BY NON-RESIDENT ISSUERS OF THEIR EQUITY AND DEBT SECURITIES

OUTWARD INVESTMENTS BY RESIDENTS

TOTAL FX PURCHASES FOR THE CURRENT CALENDAR YEAR:

EQUITY SECURITIES

NOT LISTED AT AN EXCHANGE

LISTED AT AN EXCHANGE

DEBT SECURITIES

OFFSHORE MUTUAL FUNDS (MFS)/UNIT INVESTMENT TRUST FUNDS (UITFS)

INTERCOMPANY LOAN BETWEEN/AMONG RELATED PARTIES

PURCHASE OF REAL PROPERTY ABROAD, SUCH AS CONDOMINIUM UNITS AND THOSE FOR/UNDER CONSTRUCTION

INSTRUMENTS ISSUED ONSHORE BY NON-RESIDENTS REQUIRING SETTLEMENT IN FOREIGN CURRENCY

OTHERS (PLEASE SPECIFY)

TRADE (PAYMENT FOR IMPORTATIONS)

RESIDENT TO RESIDENT TRANSACTIONS

OBLIGATIONS TO RESIDENTS

INVESTMENTS IN INSTRUMENTS (INCLUDING TIME DEPOSITS WITH AT LEAST 90-DAY MATURITY WITH ONSHORE BANKS BUT EXCLUDING OTHER BANK DEPOSITS SUCH AS SAVINGS AND DEMAND) THAT ARE ISSUED BY OTHER RESIDENTS. (PLEASE SPECIFY)

FOREIGN CURRENCY LOANS OWED TO BANKS OPERATING IN THE PHILIPPINES (FCDU/EFCDU/RBU)

PREPAYMENT **REGULAR PAYMENT**

PRINCIPAL

INTEREST

FEES

OTHERS (PLEASE SPECIFY)

NON-TRADE CURRENT ACCOUNT TRANSACTIONS

SERVICES (PLEASE SPECIFY)² _____

NON-MERCHANDISE INSURANCE

PREMIUM

CLAIMS/PAYABLES

TRAVEL EXPENSES

COMMISSIONS

PROFESSIONAL/MANAGEMENT FEES

ROYALTIES

INCOME³

REFUND OF UNUSED GRANTS/DONATIONS

OTHERS (PLEASE SPECIFY): _____

OTHERS

CONVERSION TO FX OF PESO DEPOSIT ACCOUNTS OF NON-RESIDENTS

OTHERS (PLEASE SPECIFY): _____

1. TO BE ACCOMPLISHED FOR EACH PURPOSE TO WHICH FX SHALL BE PURCHASED FROM AABS/AAB FOREX CORPS. DULY ACCOMPLISHED APPLICATION FORM, AS WELL AS SUPPORTING DOCUMENTS FOR SALE OF FX BY AABS/AAB FOREX CORPS, MAY BE SUBMITTED IN ACCORDANCE WITH PART ONE, CHAPTER I OF THE FX MANUAL. AABS/AAB FOREX CORPS SHALL MAKE AVAILABLE THE ORIGINAL/COPY OF DOCUMENTS (AS APPLICABLE) UPON REQUEST BY THE BSP FOR VERIFICATION.
2. SUCH AS COMMUNICATIONS, MANUFACTURING, MAINTENANCE AND REPAIRS, POSTAL AND COURIER, COMPUTER AND INFORMATION, RESEARCH AND DEVELOPMENT, ADVERTISING, MARKET RESEARCH, TECHNICAL, AND OTHER BUSINESS SERVICES
3. OTHER THAN THOSE RELATED TO LOANS AND INVESTMENTS

FX DISPOSITION

FX DISPOSITION: (PLEASE CHECK APPROPRIATE BOX)

FOR DIRECT REMITTANCE

IN FULL

AMOUNT _____

PARTIAL

AMOUNT _____

FOR CREDIT TO FCDU ACCOUNT

IN FULL

AMOUNT _____

PARTIAL

AMOUNT _____

NAME OF ACCOUNT OWNER _____

ACCOUNT NUMBER _____

NAME OF DEPOSITORY AAB _____

FOR EVENTUAL REMITTANCE ON OR BEFORE _____

TO BE HELD IN CASH (ONLY FOR FX SOLD FOR TRAVEL AND MEDICAL EXPENSES ABROAD NOT YET INCURRED, AND SALES PROCEEDS OF EMIGRANT'S DOMESTIC ASSETS WHERE THE EMIGRANT IS STILL IN THE PHILIPPINES)

IN FULL AMOUNT

PARTIAL AMOUNT

INTENDED BENEFICIARY OF FX REMITTANCE (Required to be filled out except for cases to be held in cash)

NAME _____

ADDRESS _____

DO YOU CERTIFY?

I hereby certify that:

- a. No double purchase of FX has been made, whether on spot basis and/or via derivatives contracts covering the same underlying transaction/s;
- b. The FX purchased shall be used for the declared purpose;
- c. For FX purchased that are credited to the FCDU account:
 - i. The FX purchased shall eventually be remitted to the declared intended beneficiary, on or before due date; and
 - ii. In the case of investments in instruments under Sections 33.1 (foreign direct investment) and 33.3.a.i (equity securities not listed at an onshore exchange), crediting of FX to the FCDU account of the non-resident investor's resident agent/authorized representative is duly authorized by the non-resident investor, and the FX shall eventually be remitted to the non-resident investor/intended beneficiary, unless to be reinvested onshore by the non-resident;
- d. As FX purchaser, I will disclose in the remittance instruction to the remitting bank the intended purpose of remittance;
- e. FX purchases shall not be made to circumvent regulations on FX transactions;
- f. This Application Form and the supporting documents submitted via official channel (whether in hardcopy or via electronic/digital means) are genuine, credible and not tampered;
- g. All applicable laws, rules and regulations covering the declared FX transaction/s are complied/shall be complied with;
- h. Pertinent documents shall be made available upon request by the BSP for verification; and
- i. The information disclosed in this Application Form are, to the best of my/our knowledge and belief, complete, true and correct, and that the declaration is made in good faith. Said information may be used by the FX selling institution and disclosed to the BSP for their intended purpose, subject to pertinent laws, rules and regulations.

DATE OF APPLICATION (DD-MM-YYYY)

SIGNATURE OVER PRINTED NAME